

Primary Disclosure Statement – Authorised Financial Adviser



Name	Alison McKenzie
Registration Number	FSP436986
Address	259 Papamoa Beach Road, Papamoa, 3118
Trading Name	McKenzie Financial Services
Mobile	027 622 5758
Email	alison@mckenziefinancialservices.co.nz
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It is important that you read this document

This information will help you to choose a financial adviser that best suits your needs. It will also provide some useful information about the financial adviser that you choose.

In addition to the information that I must disclose to you in this statement, I must also disclose other information to you in a separate disclosure statement (or statements), including information about the types of services that I provide, the fees that I charge, and any actual or potential conflicts of interest. If I have not provided that information to you at the same time as I give you this statement, I must provide it to you as soon as I can.

What sort of adviser am I?

I am an Authorised Financial Adviser. This means that I have been authorised by the Financial Markets Authority to provide the financial adviser services described below.

How can I help You?

I have been authorized to provide you with financial adviser services of the following categories:

- Financial advice
- Investment planning services



When I do this, I will be able to give you advice about:-

	Financial Products provided by only 1 organisation
	Financial Products provided by a small number of organisations (2 to 5 organisations)
•	Financial products provided by a broad range of organisations (more than 5 organisations)

How do I get paid for the services that I provide to you?

When I do this, I will be able to give you advice about:-

	Payment Type	Description
•	Fees	My services are paid for by the fees that you pay as well as in other ways
•	Commissions	There are situations in which I will be paid by other organisations. How much that payment will de depends on the decisions you make.

I am required to tell you the specific fees, commissions, extra payments, and other benefits that I have received or will, or may, receive in relation to the services that I provide to you. I must tell you these things before I provide a service or, if that is not practicable, as soon as practicable after I give you that advice.

What are my obligations?

As an Authorised Financial Adviser, I must comply with the Code of Professional Conduct for Authorized Financial Advisers. I also have other obligations under the Financial Advisers Act 2008 (including regulations made under that Act) and under the general law.

What should you do if something goes wrong?

If you have a problem, concern, or complaint about any part of my service, please tell me so that I can try to fix the problem. If we cannot agree on how to fix the issue, or if you decide not to use the internal complaints scheme, you can contact Financial Services Complaints Limited (FSCL). This service will cost you nothing, and will help resolve any disagreements.

You can contact Financial Services Complaints Limited at:

Address: PO Box 5967, Wellington, 6145

Telephone: 0800 347 257

Email: complaints@fscl.org.nz



If you need to know more, where can you get more information?

If you have a question about anything in this disclosure statement or you would like to know more about anything, please ask me. For further information about financial advisers generally, contact the Financial Markets Authority.

How am I regulated by the Government?

You can check that I am an Authorized financial adviser at <http://www.fspr.govt.nz>. My registration number is FSP436986.

The Financial Markets Authority regulates financial advisers. Contact the Financial Markets Authority for more information, including financial tips and warnings.

You can report information or complain about my conduct to the Financial Markets Authority, but in the event of a disagreement, you may choose to first use the dispute resolution procedures described above (under "What should you do if something goes wrong?").

Declaration

I, Alison McKenzie, declare that, to the best of my knowledge and belief, the information contained in this disclosure statement is true and complete and complies with the disclosure requirements in the Financial Advisers Act 2008 and the Financial Advisers (Disclosure) Regulations 2010.

Signed

A handwritten signature in black ink, appearing to read "Alison", with a stylized flourish at the end.

This Disclosure Statement, (Version 2) was prepared on 18/05/2020